

WEST VIRGINIA LEGISLATURE

2017 REGULAR SESSION

ENROLLED

Committee Substitute

for

Senate Bill 656

SENATOR MANN, *original sponsor*

[Passed April 8, 2017; in effect 90 days from passage]

1 AN ACT to amend and reenact §18-2-5h of the Code of West Virginia, 1931, as amended, relating
2 to allowing certain comprehensive statewide student assessment program vendors to only
3 receive consideration for certain information if they obtain affirmative written consent
4 solely for providing a student access to employment, educational scholarships or financial
5 aid, or post-secondary educational opportunities.

Be it enacted by the Legislature of West Virginia:

1 That §18-2-5h of the Code of West Virginia, 1931, as amended, be amended and
2 reenacted to read as follows:

ARTICLE 2. STATE BOARD OF EDUCATION.

§18-2-5h. Student Data Accessibility, Transparency and Accountability Act.

1 (a) *Title.* — This section shall be known and may be cited as the Student Data
2 Accessibility, Transparency and Accountability Act.

3 (b) *Definitions.* — As used in this section, the following words have the meanings ascribed
4 to them unless the context clearly implies a different meaning:

5 (1) “Board” means the West Virginia Board of Education;

6 (2) “Department” means the West Virginia Department of Education;

7 (3) “Student data system” means the West Virginia Department of Education statewide
8 longitudinal data system;

9 (4) “Aggregate data” means data collected that is reported at the group, cohort or
10 institutional level with a data set of sufficient size that no information for an individual parent or
11 student is identifiable;

12 (5) “Redacted data” means a student dataset in which parent and student identifying
13 information has been removed;

14 (6) “State-assigned student identifier” means the unique student identifier assigned by the
15 state to each student that shall not be or include the Social Security number of a student in whole
16 or in part;

17 (7) “Student data” means data collected or reported at the individual student level included
18 in a student’s educational record;

19 (8) “Provisional student data” means new student data proposed for inclusion in the
20 student data system;

21 (9) “School district” means a county board of education, the West Virginia Schools for the
22 Deaf and Blind and the West Virginia Department of Education with respect to the education
23 programs under its jurisdiction that are not in the public schools;

24 (10) “Directory information” means the following individual student information that is
25 subject to disclosure for school-related purposes only: Student name, address, telephone
26 number, date and place of birth, major field of study, participation in officially recognized activities
27 and sports, weight and height of members of athletic teams, dates of attendance, indication of
28 graduate or nongraduate degrees and awards received, most recent previous school attended
29 and photograph.

30 (11) “Confidential student information” means data relating to a person’s Social Security
31 number, or other identification number issued by a state or federal agency, except for the state-
32 assigned student identifier as defined in this section, religious affiliation, whether the person or a
33 member of their household owns or possesses a firearm, whether the person or their family are
34 or were recipients of financial assistance from a state or federal agency, medical, psychological
35 or behavioral diagnoses, criminal history, criminal history of parents, siblings or any members of
36 the person’s household, vehicle registration number, driver’s license number, biometric
37 information, handwriting sample, credit card numbers, consumer credit history, credit score or
38 genetic information;

39 (12) “Affective computing” means human-computer interaction in which the device has the
40 ability to detect and appropriately respond to its user’s emotions and other stimuli; and

41 (13) "Fair Information Practice Principles" are United States Federal Trade Commission
42 guidelines that represent widely accepted concepts concerning fair information practice in an
43 electronic marketplace.

44 (c) *Data inventory — state responsibilities.* — The Department of Education shall:

45 (1) Create, publish, and make publicly available a data inventory and dictionary or index
46 of data elements with definitions of individual student data fields in the student data system to
47 include, but not be limited to:

48 (A) Any individual student data required to be reported by state and federal education
49 mandates;

50 (B) Any individual student data which has been proposed in accordance with paragraph
51 (A), subdivision (7) of this subsection for inclusion in the student data system with a statement
52 regarding the purpose or reason and legal authority for the proposed collection; and

53 (C) Any individual student data that the department collects or maintains with no current
54 identified purpose;

55 (2) Develop, publish and make publicly available policies and procedures to comply with
56 all relevant state and federal privacy laws and policies, including, but not limited to, the Federal
57 Family Educational Rights and Privacy Act (FERPA) and other relevant privacy laws and policies.
58 The policies and procedures specifically shall include, but are not limited to:

59 (A) Access to student and redacted data in the statewide longitudinal data system shall
60 be restricted to:

61 (i) The authorized staff of the department and the contractors working on behalf of the
62 department who require access to perform their assigned duties as required by law and defined
63 by interagency data-sharing agreements;

64 (ii) District administrators, teachers and school personnel who require access to perform
65 their assigned duties;

66 (iii) Students and their parents; and

67 (iv) The authorized staff of other West Virginia state agencies as required by law and
68 defined by interagency data-sharing agreements;

69 (B) Ensure that any interagency data-sharing agreements shall be posted on the
70 department website, and parents shall be notified of their right to opt out of sharing the child's
71 data pursuant to agreements.

72 (C) Use only aggregate data in public reports or in response to record requests in
73 accordance with this section;

74 (D) Unless otherwise prohibited by law, develop criteria for the approval of research and
75 data requests from state and local agencies, the Legislature, researchers working on behalf of
76 the department, and the public. Student data maintained by the department shall remain redacted;
77 and

78 (E) Notification to students and parents regarding student privacy rights under federal and
79 state law;

80 (3) Unless otherwise provided by law, the department shall not transfer confidential
81 student information or redacted data that is confidential under this section to any federal, state or
82 local agency or other person or entity, public or private, with the following exceptions:

83 (A) A student transfers out-of-state or a school or school district seeks help with locating
84 an out-of-state transfer;

85 (B) A student leaves the state to attend an out-of-state institution of higher education or
86 training program;

87 (C) A student registers for or takes a national or multistate assessment;

88 (D) A student voluntarily participates in a program for which a data transfer is a condition
89 or requirement of participation;

90 (E) The department enters into a contract that governs databases, assessments, student
91 or redacted data, special education or instructional supports with an in-state or out-of-state
92 contractor for the purposes of state level reporting;

93 (F) A student is classified as “migrant” for federal reporting purposes;

94 (G) A federal agency is performing a compliance review; or

95 (H) The following applies to any vendor that the state board contracts with to provide a
96 comprehensive statewide student assessment program that includes an eleventh grade
97 assessment that a significant number of regionally accredited higher education institutions use
98 for determining college admissions or the part of that program that includes that eleventh grade
99 assessment:

100 (i) Nothing in this article prevents the vendor from using a student’s assessment results
101 and necessary directory or other permissible information under this act;

102 (ii) The vendor may only receive payment or other consideration for this information if they
103 obtain affirmative written consent from the student if the student is fifteen years of age or older,
104 or from the student’s parent or guardian if the student is under fifteen years of age, given in
105 response to clear and conspicuous notice, solely for providing the student access to employment,
106 educational scholarships or financial aid, or post-secondary educational opportunities; and

107 (iii) If information classified as confidential is required, the vendor shall obtain affirmative
108 written consent from the student if the student is eighteen years of age or older, or from the
109 student’s parent or guardian if the student is under eighteen years of age. The consent shall
110 contain a detailed list of confidential information required and the purpose of its requirement;

111 (4) Develop a detailed data security plan that includes:

112 (A) Guidelines for the student data system and for individual student data including
113 guidelines for authentication of authorized access;

114 (B) Privacy compliance standards;

115 (C) Privacy and security audits;

116 (D) Breach planning, notification and procedures;

117 (E) Data retention and disposition policies; and

118 (F) Data security policies including electronic, physical and administrative safeguards,
119 such as data encryption and training of employees;

120 (5) Ensure routine and ongoing compliance by the department with FERPA, other relevant
121 privacy laws and policies, and the privacy and security policies and procedures developed under
122 the authority of this act, including the performance of compliance audits;

123 (6) Ensure that any contracts that govern databases, assessments or instructional
124 supports that include student or redacted data and are outsourced to private vendors include
125 express provisions that safeguard privacy and security and include penalties for noncompliance;
126 and

127 (7) Notify the Governor and the Legislature annually of the following:

128 (A) New student data proposed for inclusion in the state student data system. Any proposal
129 by the Department of Education to collect new student data must include a statement regarding
130 the purpose or reason and legal authority for the proposed collection. The proposal shall be
131 announced to the general public for a review and comment period of at least sixty days and
132 approved by the state board before it becomes effective. Any new student data collection
133 approved by the state board is a provisional requirement for a period sufficient to allow schools
134 and school districts the opportunity to meet the new requirement;

135 (B) Changes to existing data collections required for any reason, including changes to
136 federal reporting requirements made by the U. S. Department of Education and a statement of
137 the reasons the changes were necessary;

138 (C) An explanation of any exceptions granted by the state board in the past year regarding
139 the release or out-of-state transfer of student or redacted data; and

140 (D) The results of all privacy compliance and security audits completed in the past year.
141 Notifications regarding privacy compliance and security audits may not include any information
142 that would itself pose a security threat to the state or local student information systems or to the
143 secure transmission of data between state and local systems by exposing vulnerabilities.

144 (8) Notify the Governor upon the suspicion of a data security breach or confirmed breach
145 and upon regular intervals as the breach is being managed. The parents shall be notified as soon
146 as possible after the suspected or confirmed breach.

147 (9) Prohibit the collection of confidential student information as defined in subdivision (10),
148 subsection (b) of this section.

149 (d) *Data inventory — district responsibilities.* — A school district shall not report to the
150 state the following individual student data:

- 151 (1) Juvenile delinquency records;
- 152 (2) Criminal records;
- 153 (3) Medical and health records; and
- 154 (4) Student biometric information.

155 (e) *Data inventory — school responsibilities.* — Schools may not collect the following
156 individual student data:

- 157 (1) Political affiliation and beliefs;
- 158 (2) Religion and religious beliefs and affiliations;
- 159 (3) Any data collected through affective computing;
- 160 (4) Any data concerning the sexual orientation or beliefs about sexual orientation of the
161 student or any student’s family member; and
- 162 (5) Any data concerning firearm’s ownership by any member of a student’s family.

163 (f) *Data governance manager.* — The state superintendent shall appoint a data
164 governance manager, who shall report to and be under the general supervision of the state
165 superintendent. The data governance manager shall have primary responsibility for privacy policy,
166 including:

- 167 (1) Assuring that the use of technologies sustain, and do not erode, privacy protections
168 relating to the use, collection and disclosure of student data;

169 (2) Assuring that student data contained in the student data system is handled in full
170 compliance with the Student Data Accessibility, Transparency and Accountability Act, FERPA,
171 and other state and federal privacy laws;

172 (3) Evaluating legislative and regulatory proposals involving collection, use and disclosure
173 of student data by the Department of Education;

174 (4) Conducting a privacy impact assessment on proposed rules of the state board and
175 department in general and on the privacy of student data, including the type of personal
176 information collected and the number of students affected;

177 (5) Coordinating with the general counsel of the state board and department, other legal
178 entities and organization officers to ensure that programs, policies and procedures involving civil
179 rights, civil liberties and privacy considerations are addressed in an integrated and comprehensive
180 manner;

181 (6) Preparing a report to the Legislature on an annual basis on activities of the department
182 that affect privacy, including complaints of privacy violations, internal controls and other matters;

183 (7) Establishing departmentwide policies necessary for implementing Fair Information
184 Practice Principles to enhance privacy protections;

185 (8) Working with the Office of Data Management and Analysis, the general counsel and
186 other officials in engaging with stakeholders about the quality, usefulness, openness and privacy
187 of data;

188 (9) Establishing and operating a departmentwide Privacy Incident Response Program to
189 ensure that incidents are properly reported, investigated and mitigated, as appropriate;

190 (10) Establishing and operating a process for parents to file complaints of privacy
191 violations;

192 (11) Establishing and operating a process to collect and respond to complaints of privacy
193 violations and provides redress, as appropriate; and

194 (12) Providing training, education and outreach to build a culture of privacy across the
195 department and transparency to the public.

196 The data governance manager shall have access to all records, reports, audits, reviews,
197 documents, papers, recommendations and other materials available to the department that relate
198 to programs and operations with respect to his or her responsibilities under this section and shall
199 make investigations and reports relating to the administration of the programs and operations of
200 the department as are necessary or desirable.

201 (g) *Parental rights regarding child's information and education record.* — Parents have the
202 right to inspect and review their child's education record maintained by the school and to request
203 student data specific to their child's educational record. School districts must provide parents or
204 guardians with a copy of their child's educational record upon request. Whenever possible, an
205 electronic copy of the educational record must be provided if requested and the identity of the
206 person requesting the information is verified as the parent or guardian.

207 The state board shall develop guidance for school district policies that:

208 (1) Annually notify parents of their right to request student information;

209 (2) Ensure security when providing student data to parents;

210 (3) Ensure student data is provided only to the authorized individuals;

211 (4) Detail the timeframe within which record requests must be provided;

212 (5) Ensure that school districts have a plan to allow parents to view and access data
213 specific to their child's educational record and that any electronic access provided is restricted to
214 eligible parties;

215 (6) Ensure compliance in the collection, use and disclosure of directory information and
216 providing parents or guardians with a form to limit the information concerning their child in
217 directory and subject to release; and

218 (7) Informing parents of their rights and the process for filing complaints of privacy
219 violations.

220 (h) *State board rules.* — The state board shall adopt rules necessary to implement the
221 provisions of the Student Data Accessibility, Transparency and Accountability Act.

222 (i) *Effect on existing data.* — Upon the effective date of this section, any existing student
223 data collected by the department of education may not be considered a new student data
224 collection under this section.

The Joint Committee on Enrolled Bills hereby certifies that the foregoing bill is correctly enrolled.

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Chairman, Senate Committee

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Chairman, House Committee

Originated in the Senate.

In effect 90 days from passage.

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Clerk of the Senate

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Clerk of the House of Delegates

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President of the Senate

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Speaker of the House of Delegates

The within this the.....
Day of, 2017.

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Governor